

REQUEST FOR PROPOSALS
For
Orange County Power Authority

**Power Supply Portfolio Management and Power
Procurement**

Solicitation Number: 25-005

RESPONSE DUE
by
5:00 p.m. Pacific Standard Time
on
October 15, 2025

For complete information regarding this project, see RFP posted at www.ocpower.org or contact OCPA at the email address listed below.

Thank you for your interest!

PowerResources@ocpower.org

A. BACKGROUND AND INTRODUCTION

Orange County Power Authority (OCPA) is seeking proposals from qualified firms (Consultants) to provide Power Supply Portfolio Management and Power Procurement services (Services). This solicitation is not intended to create an exclusive service agreement and multiple awards may be made. OCPA retains the ability, at its sole discretion, to add qualified Consultants at any time.

1. BACKGROUND

OCPA is a California joint powers authority created by a Joint Powers Agreement (JPA) on November 20, 2020, as a Community Choice Aggregator (CCA) in Orange County. OCPA provides several benefits to its participating customers including:

- Providing electric power at competitive costs with reduced carbon emissions
- Procuring energy with a priority on the use and development of local renewable resources
- Stimulating local job creation through various program development
- Promoting personal and community ownership of renewable resources
- Stabilizing long-term electricity rates for residents and businesses

OCPA is governed by a board of directors (Board of Directors or Board) consisting of elected representatives from each participating jurisdiction. The JPA authorizes OCPA with the rights and powers to set rates for the services it furnishes, incur indebtedness, and issue bonds or other obligations. OCPA was established for the acquisition of electric power for its service area as well as to provide other benefits to the residential, commercial, industrial, and agricultural customers in communities located within the cities of Buena Park, Fullerton, and Irvine. City of Fountain Valley has joined OCPA, and retail service to its customers will start in October 2026. OCPA is continuing to engage with other cities and jurisdictions.

In April 2022, OCPA began providing service to approximately 32,000 commercial, industrial, and agricultural customer accounts and in October 2022, OCPA initiated the second enrollment phase, enrolling all residential customers accounts. OCPA currently serves over 196,000 residential and commercial customers.

OCPA's objectives are to (1) provide electric generation rates that are competitive to the generation rates offered by the incumbent electric utilities, (2) offer a default product that is compliant with all California state mandates, (3) offer voluntary products that represent its members' preferences including a 100% renewable energy product, (4) expand local and regional resources, and (5) enrich and grow the OCPA community by building partnerships, engaging residents and business, raising awareness of energy choices, and advocating for our customers' needs.

The Business Plan and other key documents for OCPA are available online at <https://www.ocpower.org>.

A summary of the expected characteristics of OCPA is presented below.

Table 1
Estimated Electric Consumption for Anticipated OCPA Accounts

	2024	2025	2026	2027	2028
OCPA Demand (GWh)					
Retail Demand	2,322	2,116	2,134	2,308	2,393
Losses and UFE	139	127	128	138	143
TOTAL DEMAND	2,461	2,243	2,262	2,451	2,542
OCPA Supply (GWh)					
Total Renewable Resources	1,157	1,022	988	1,080	1,237
Total Conventional Resources	1,812	1,440	1,255	1,242	1,296
TOTAL SUPPLY	2,969	2,461	2,243	2,322	2,533

Table 2
OCPA Estimated Eligible Service Accounts

Residential	169,873
Small Commercial	19,782
Medium Commercial	3,720
Large Commercial	286
Industrial	133
Street Lighting & Traffic	2,758
Agricultural & Pumping	90
Total	196,643

2. TERM OF AGREEMENT

The Agreement is expected to have a term of three (3) years with the option to extend for up to two (2) additional one (1) year terms. OCPA is not required to state a reason if it elects not to extend.

3. MINIMUM QUALIFICATIONS AND GENERAL EXPECTATIONS OF THE CONSULTANT

- All proposers should have a valid business license for the City in which they operate.
- All Consultants must have the technical expertise, breadth of experience, and availability to support OCPA's energy and customer program needs.
- The firm proposing services must be able to demonstrate experience working with California based public agencies or municipalities.
- Due to the nature of OCPA's operations, a non-disclosure agreement will need to be signed in relation to any information the Consultant may be privy to regarding OCPA customer information.

- Demonstrates direct experience within and understanding of California energy markets, including relevant legislation and regulations applicable to OCPA and its major participants – investor owned utilities, CA Independent System Operator (CAISO), energy service providers and independent power producers, California Public Utilities Commission (CPUC), California Energy Commission (CEC), and other key market entities.
- Demonstrates experience in resource planning and energy procurement.
- Demonstrates experience in California energy compliance reporting.
- Demonstrates knowledge of CAISO and schedule coordination.
- Demonstrates knowledge of schedule coordination in the Imperial Irrigation District (IID) balancing authority
- Demonstrates experience in accurate and timely regulatory compliance filings.
- Possess all licenses and professional credentials relevant to performing RFP services.

B. SERVICES REQUESTED

The Services sought under this RFP are set forth in more detail in **Exhibit A**, attached hereto and incorporated herein by this reference. Notwithstanding the inclusion of such Services in **Exhibit A**, the final scope of Services negotiated between OCPA and the successful Proposer shall be set forth in the Professional Services Agreement (Agreement) executed by and between OCPA and the successful Proposer. A copy of the Agreement is attached hereto as **Exhibit B** and incorporated herein by this reference. In addition, **Exhibit C** identifies the mandatory compliance filings that the Service Provider shall draft and submit. **Exhibit C** is not intended to be exhaustive, and additional filings may be required.

C. PROPOSAL SUBMISSION REQUIREMENTS

OCPA will establish a Consultant Selection Panel (Panel). This Panel will evaluate the proposals based solely on the information provided, in accordance with the proposal evaluation criteria outlined below.

OCPA reserves the right to invite short-listed Proposers for a pre-selection interview if deemed necessary.

Following the assessment of proposals and/or interviews, the Panel will rank the proposals. The Panel will then recommend the best fit Proposer to the Board of Directors for potential contracting. The Board of Directors retains the final authority to approve and enter into a contract with the selected Proposer. OCPA reserves the right to not select any proposals from this RFP.

Only digital submissions will be accepted. Please submit all proposals via www.bidnetdirect.com, which is a free platform to applicants. If bidnetdirect.com creates a hardship, please email submissions in PDF format to powerresources@ocpower.org by the established deadline. Submissions in other digital formats will not be accepted.

OCPA requests proposals consist of the following minimum requirements to ensure the Proposer has a comprehensive understanding of the Services and such that OCPA has a complete understanding of the Proposer's ability to meet OCPA's needs.

1. CONTENT AND FORMAT OF PROPOSAL

- a. Executive Summary: Summarize the content of your firm's proposal in a clear and concise manner
- b. Table of Contents
- c. Identification of Proposer:
 - i. Legal name and address of the company.
 - ii. Legal form of company (partnership, corporation, LLC, etc.).
 - iii. If company is a wholly owned subsidiary of a "parent company," identify the "parent company."
 - iv. Name, title, address and telephone number of the proposed representative to contact concerning the Proposal Submittal.
 - v. California Business Entity Number (from California Secretary of State).
- d. Staffing Resources: (including resumes)
 - i. Firm Staffing and Key Personnel
 - i) Provide the number of staff to be assigned to perform the Services and the names/discipline/job title of each as well as your firm's capacity to provide additional personnel as needed.
 - ii) Identify three (3) persons that will be principally responsible for working with OCPA. Indicate the role and responsibility of each individual. If the Proposer is chosen as a finalist, these principal individuals must attend the interview and in-person presentation.
 - iii) Describe proposed team organization, including identification and responsibilities of key personnel. Please include one-page resumes.
 - iv) Provide brief biographies of individuals that will be working directly with OCPA.
 - v) Describe California Experience – this would include entities served, load, customers, years, and number of Investor Owned Utilities
 - vi) Describe staff experience working with CCAs in California.
 - vii) Describe California regulatory experience.
 - ii. Subcontractors/Subconsultants
 - i) The Proposer shall identify functions that are likely to be subcontracted and identify the subcontractor / sub-consultant that is anticipated to perform each function, if known at this time.
- e. Power Supply Portfolio Management and Power Supply Questions
 - i. Out of which of your firm's offices would these services be managed?
 - ii. Please provide the names of the entities for whom your firm has purchased Resource Adequacy Capacity? Please specify whether your firm has purchased designated system, local RA and/or flexible RA capacity for the entities.
 - iii. Describe your firm's approach to ensure State mandates are met regarding resource adequacy procurement and long-term renewable energy.
 - iv. Describe your firm's approach in providing the required services for California regulatory compliance filings, from notifications by the California regulatory entities, prepare the necessary templates, documentations, written narratives, to supporting OCPA in the review of the filings, to the filing by the respective deadlines.

- v. Please provide an outline of Business Intelligence and Reporting tools you will provide to OCPA. Reporting tools can provide any of the following:
 - i) Reporting for analytics,
 - ii) Visualization and reporting,
 - iii) Self-Service reporting,
 - iv) Aggregation of data reporting,
 - v) Risk management and performance reporting.
 - vi) Finance related reporting.
 - vi. Please provide your firm's unique service offering(s) in detail, including any added value services with actual use cases and market examples where applicable. The added value services may include the efficiency gains with the processes of portfolio management and power procurement, compliance filings, optimization of energy products and attributes based on the existing market conditions, regulations, and positions with the portfolio(s). Please note that any offering(s) proposed in this subsection may or may not be included in the base scope of work, and may be included in the final agreement as optional scope of work with final negotiated pricing. Include any structure of pricing for the unique service offerings as part of the proposal, should the offerings be selected as part of the optional item in the final agreement.
 - vii. Please provide three (3) references, including names and contact information, for which you have performed similar work. References should not include any OCPA member agencies, staff, or Board members.
- f. Fiscal Stability
- i. The Proposer should provide evidence of corporate stability which may include:
 - i) A current report from any commercial credit rating service such as Dunn and Bradstreet or Experian; or
 - ii) A letter from a financial institution stating a current line of credit; and
 - iii) Latest audited financial statement and/or annual report that has been certified by a CPA.
 - iv) Financial statements from the prior two years of operations.
 - v) The information above for all proposed sub-consultants.
 - vi) Note: If applicable, Proposers may mark the above information as "Confidential" or "Trade Secret" and OCPA will handle such information in accordance with Section D.1.i of this RFP.
- g. Experience and Technical Competence:
- i. Experience
 - i) The Proposer shall provide a description of how the Proposer's experience, technical and professional skills will meet the goals and fulfill the general functions identified in this RFP.
 - ii) Describe the past experience of the staff to be assigned to perform the Services in performing similar services.
 - iii) Describe the firm's local experience and knowledge of OCPA's operations.
 - iv) Does your firm currently provide services to one or more CCAs? If yes, please list the CCAs.
 - v) Does your firm currently provide data management to entities other than CCAs that reside within CAISO? If yes, please list the entities.
 - vi) Describe any potential challenges for a CCA wishing to serve customers across two different IOU service areas and how your firm will address these

challenges. Has your firm successfully addressed this challenge?

- vii) OCPA intends to build an internal data science team to work in parallel with the selected data management provider. Please describe your ability, experience and willingness to provide a portal for authorized OCPA staff and consultants with continuous real-time access to all raw IOU data inputs and post processing data outputs.

ii. Project Specific Experience

- i) The Proposer shall provide a description of the three (3) most relevant service contracts held by the firm within the last five (5) years, one (1) page per project, to include:
 - (1) Role of the firm
 - (2) Description of services
 - (3) Staffing
 - (4) Duration of providing services
 - (5) Relationship to client
 - (6) Contact name, position, entity name, telephone number, fax number and e-mail address for each project.
- ii) If any of the following has occurred, please describe in detail:
 - (1) Failure to enter into a contract or professional services agreement once selected or awarded the contract.
 - (2) Withdrawal of a proposal as a result of an error.
 - (3) Termination or failure to complete a contract prior to the expiration of the contract.
 - (4) Debarment by any municipal, county, state, federal or local agency.
 - (5) Involvement in litigation, arbitration or mediation. Conviction of the firm or its principals for violating a state or federal antitrust law by bid or proposal rigging, collusion, or restrictive competition between bidders or proposers, or conviction of violating any other federal or state law related to bidding or professional services performance. Knowing concealment of any deficiency in the performance of a prior contract.
 - (6) Falsification of information or submission of deceptive or fraudulent statements in connection with a contract.
 - (7) Willful disregard for applicable rules, laws or regulations.Information regarding any of the above may, at the sole discretion of OCPA, be deemed to indicate an unsatisfactory record of performance

- h. Proposed Method to Accomplish the Work: Describe the technical and management approach to providing the Services to OCPA. Proposer should take into account the scope of the Services, goals of OCPA, and general functions required. Include a draft schedule of tasks, milestones, and deliverables that will provide for timely provision of the Services. In reviewing the scope of Services and goals described in **Exhibit A**, the Proposer may identify additional necessary tasks and is invited to bring these to OCPA's attention within the discussion of its proposed method to accomplish the work
- i. Fee Proposal: Please include an estimated budget and fee itemizations by year and task including travel expenses. Include all costs that may be relevant to the services proposed. Fees may be provided separately for each service addressed by vendor's proposal. The fee proposal shall include hourly rates for all personnel for "Additional Services" (as such term is defined in the Agreement attached hereto as **Exhibit B**).

- j. Insurance: See the Agreement, attached hereto as **Exhibit B**, for a description of the insurance requirements.
- k. Litigation: Provide litigation history for any claims filed by your firm or against your firm related to the provision of any services in the last five (5) years.
- l. Other Information: This section shall contain all other pertinent information regarding the following:
 - i. Demonstration of record of staffing tasks efficiently and completing projects on time and within the allocated budget.
 - ii. Description of community involvement.
 - iii. Description of any previous involvement with OCPA.
- m. Exceptions: Any exceptions of Proposer to this RFP, including the proposed Agreement attached as Exhibit B. If applicable, Proposer shall submit a redline / blackline of the Agreement reflecting its proposed revisions.

2. EVALUATION CRITERIA

The proposals submitted in response to this RFP shall be evaluated for award based on the criteria set forth below. Consultants should submit information sufficient for OCPA to easily evaluate proposals with respect to the selection criteria. The absence of required information may cause the proposal to be deemed non-responsive and may be cause for rejection.

The Panel will select the proposal that offers the greatest value to OCPA based on an analysis of the following criteria:

- a. The firm is independent and properly licensed to practice and authorized to do business in the State of California.
- b. The firm has no conflict of interest with regard to any other work performed by the firm for OCPA.
- c. Clarity and conformance of proposal to RFP.
- d. Content of the proposal.
- e. Proposer's experience and performance.
- f. Team members' experience and performance.
- g. Fee proposal.
- h. References

It is OCPA's intent to select a firm evidencing demonstrated competence and professional qualification sufficient to perform the Services. As outlined in the Evaluation Criteria, the decision to award the contract will consider multiple factors, prioritizing what's best for OCPA. It is important to note that OCPA will not necessarily award the contract to the lowest bidder.

OCPA reserves the right to reject all proposals, select by proposal review only, or interview as needed. Certain firms may be selected to make a brief presentation and oral interview after which a final selection will be made. The successful proposer will be selected on the basis of information provided in the RFP, in-person presentations, and the results of OCPA's research and investigation.

Upon selection of a firm, OCPA will endeavor to negotiate a mutually agreeable professional

services agreement with the selected firm. In the event that OCPA is unable to reach agreement, OCPA will proceed, at its sole discretion, to negotiate with the next firm selected by OCPA. OCPA reserves the right to contract for services in the manner that most benefits OCPA including awarding more than one contract if desired.

3. RFP SCHEDULE

The tentative schedule is as follows:

Release of RFP	September 15, 2025
Deadline for Question Submittal	September 22, 2025
Posting of Responses to Questions	October 3, 2025
Proposals Due	October 15, 2025
Board Approval based on OCPA evaluations	November 10, 2025
Notification of Board decision	November 12, 2025
Contract Begins	November 17, 2025

The above scheduled dates are tentative and OCPA retains the sole discretion to adjust the above schedule. Nothing set forth herein shall be deemed to bind OCPA to award a contract for the above-described professional Services, and OCPA retains the sole discretion to cancel or modify any part of or all of this RFP at any time.

D. TERMS AND CONDITIONS

1. GENERAL PROVISIONS

a. Incurring Cost

This RFP does not commit OCPA to award or pay any cost incurred in the submission of the proposal, or in making necessary studies or designs for the preparation thereof, nor procure or contract for services or supplies. Further, OCPA will not reimburse the Proposer for any costs incurred in responding to this RFP.

b. Claims Against OCPA

Neither your organization nor any of your representatives shall have any claims whatsoever against OCPA or any of its respective officials, agents, or employees arising out of or relating to this RFP or these RFP procedures, except as set forth in the terms of a definitive agreement between OCPA and your organization.

c. Guarantee of Proposal

Responses to this RFP, including proposal prices, will be considered firm and irrevocable for ninety (90) days after the due date for receipt of proposals.

d. Basis for Proposal

Only information supplied by OCPA in writing by OCPA in connection with this RFP should be used as the basis for the preparation of Proposer's proposal.

e. Form of Proposals

Proposals must be submitted electronically by e-mail and must be received by OCPA prior to the deadline.

f. Amended Proposals

Proposers may submit amended proposals before the Deadline to Submit Proposals. Such amended proposals must be complete replacements for previously submitted proposals and must be clearly identified in a written format.

g. Withdrawal of Proposal

Proposers may withdraw their proposals at any time prior to the Deadline to Submit Proposals. The Proposer must submit a written withdrawal request signed by the Proposer's duly authorized representative addressed to and submitted to the Contact.

h. No Late Responses

To be considered, proposals must be received electronically via bidnetdirect.com by the date and time reflected on the cover page.

i. California Public Records Act (CPRA)

Pursuant to *Michaelis, Montanari, & Johnson v. Superior Court* (2006) 38 Cal.4th 1065, proposals submitted in response to this RFP may be held as confidential by OCPA and shall not be subject to disclosure under the California Public Records Act (Cal. Government Code § 7920.000 et seq.) until after either OCPA and the successful Proposer(s) have completed negotiations and entered into an Agreement or OCPA has rejected all proposals. All correspondence with OCPA including responses to this RFP will become the exclusive property of OCPA and will become public records under the California Public Records Act. Furthermore, and notwithstanding any other provision of this RFP, OCPA will have no liability to the proposer or other party as a result of any public disclosure of any proposal or the Agreement.

If a Proposer believes that a portion of its proposal would be exempt from disclosure under the California Public Records Act, the Proposer must mark it as such and state the specific provision in the California Public Records Act or applicable law which provides the exemption as well as the factual basis for claiming the exemption. For example, if a Proposer submits trade secret information, the Proposer must plainly mark the information as "Trade Secret" and refer to the appropriate section of the California Public Records Act or applicable law which provides the exemption as well as the factual basis for claiming the exemption. Although the California Public Records Act recognizes that certain confidential trade secret information may be protected from disclosure, OCPA may not be in a position to establish that the information that a Proposer submits is a trade secret. Proposers should not provide a blanket statement of confidentiality or mark each page of the proposal as confidential. If a request is made for information marked "Confidential", "Trade Secret" or "Proprietary," and OCPA determines in its sole discretion that such information is not, or may not be, withheld from disclosure under the California Public Records Act, OCPA will endeavor to provide Proposers who submitted the information with reasonable notice to seek protection from disclosure by a court of competent jurisdiction at the Proposer's sole expense.

j. Confidentiality

All data and information obtained from or on behalf of OCPA by the Proposer and its agents in this RFP process, including reports, recommendations, specifications, and data, shall be treated by the Proposer and its agents as confidential. The Proposer and its agents shall not disclose or communicate this information to a third party or use it in advertising, publicity, propaganda, or in another job or jobs, unless written consent is obtained from OCPA. Generally, each proposal and all documentation, including financial information, submitted

by a consultant to OCPA is confidential until a contract is awarded, when such documents become public record under State and local law, unless exempted under CPRA.

k. Electronic Mail Address

Most of the communication regarding this procurement will be conducted by electronic mail (e-mail). Potential Proposers agree to provide the contact with a valid e-mail address to receive this communication.

l. OCPA Rights

OCPA reserves the right to do any of the following at any time:

- Reject any or all proposal(s), without indicating any reason for such rejection;
- Waive or correct any minor or inadvertent defect, irregularity, or technical error in a proposal or the RFP process, or as part of any subsequent contract negotiation;
- Request that Proposers supplement or modify all or certain aspects of their proposals or other documents or materials submitted;
- Cancel the RFP, and at its option, issue a new RFP;
- Procure any services specified in this RFP by other means;
- Modify the selection process, the specifications or requirements for materials or services, or the contents or format of the proposals;
- Extend a deadline specified in this RFP, including deadlines for accepting proposals;
- Negotiate with any or none of the Proposers;
- Modify any terms and/or conditions described in this RFP in the final agreement;
- Terminate failed negotiations with any Proposer without liability, and negotiate with other Proposer(s);
- Disqualify any Proposer on the basis of a real or apparent conflict of interest, or evidence of collusion that is disclosed by the proposal or other data available to OCPA;
- Eliminate, reject, or disqualify a proposal of any Proposer who is not a responsible Proposer or fails to submit a responsive offer as determined solely by OCPA or its representative; and/or
- Accept all or a portion of a Proposer's proposal.

m. Protests

A Proposer may protest a contract award if the Proposer believes that the award was inconsistent with OCPA policy or this RFP is not in compliance with law. A protest must be filed in writing with OCPA (email is not acceptable) within five (5) business days after receipt of notification of the contract award. Any protest submitted after 5:00 p.m. of the fifth business day after notification of the contract award will be rejected by OCPA as invalid and the Proposer's failure to timely file a protest will waive the Proposer's right to protest the contract award. The Proposer's protest must include supporting documentation, legal authorities in support of the grounds for the protest and the name, address and telephone number of the person representing the Proposer for purposes of the protest. Any matters not set forth in the protest shall be deemed waived.

OCPA will review and evaluate the basis of the protest provided the protest is filed in strict conformity with the foregoing. OCPA shall provide the Proposer submitting the protest with a written statement concurring with or denying the protest. Action by OCPA relative to the

protest will be final and not subject to appeal or reconsideration. The procedure and time limits set forth in this Section are mandatory and are the Proposer's sole and exclusive remedy in the event of protest. Failure to comply with these procedures will constitute a waiver of any right to further pursue the protest, including filing a Government Code claim or legal proceedings.

n. Independent Contractor

Services provided by the successful Proposer (Consultant) shall be performed as an independent contractor. All persons employed by Consultant in connection with this agreement shall not be agents or employees of OCPA. Consultant shall be solely and exclusively in charge of determining the means by which the professional services called for herein are performed, and shall be responsible for all costs incurred in connection therewith, unless OCPA agrees otherwise in a duly executed writing delivered to consultant prior to the incurring of such expense. Consultant may also retain or subcontract for the services of other necessary consultants with the approval of OCPA. Payment for such services shall be the responsibility of consultant.

o. Non-Discrimination for Employment with Consultant

During the performance of this agreement, the successful Proposer will not discriminate against any employee or applicant for employment because of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selecting for training, including apprenticeship. The Consultant will ensure that all qualified applicants for employment with Consultant will receive consideration for employment without regard to race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability. Consultant will take affirmative action to ensure that employees are treated during employment, without regard to their race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability.

p. Conflict of Interest

The selected Proposer shall employ no OCPA official or employee in the work performed pursuant to this agreement. No officer or employee in OCPA shall have any financial interest in this agreement in violation of California Government Code Sections 1090 and following, or Sections 87100 and following; nor shall OCPA violate any provision of its Conflict of Interest Code adopted pursuant to the provisions of California Government Code Section 87300 and following.

q. Agreement for Professional Services

The selected Proposer will be required to sign the attached Standard Professional Services Agreement and to provide the insurance certificates and all other required documentation within seven (7) calendar days of notification of selection.

r. Exceptions Certification to this RFP

In submitting a proposal in response to this RFP, Proposer is certifying that it takes no exceptions to this RFP including, but not limited to, the Agreement. If any exceptions are taken, such exceptions must be clearly noted in the proposal and may be reason for rejection of the proposal. As such, Proposer is directed to carefully review the attached Agreement and, in particular, the insurance and indemnification provisions therein.

s. Disclosure Provision/ Conflict of Interest

OCPA complies with all California statutes and regulations related to conflicts of interest. Under the applicable conflict of interest requirements, the selected proposer may be required to complete and file Form 700 with OCPA before starting or at a future point during the engagement if such requirements become applicable to the selected proposer.

t. Reporting of Supplier Diversity Information

Public Utilities Code Section 366.2(m) requires certain community choice aggregators, including OCPA, to annually submit to the California Public Utility Commission (CPUC) a report regarding its procurement from women business enterprises (WBEs), minority business enterprises (MBEs), disabled veteran business enterprises (DVBES), and LGBT business enterprises (LGBTBEs). Businesses may become certified WBEs, MBEs, DVBES, and LGBTBEs through the CPUC Clearinghouse, which can be found here: www.thesupplierclearinghouse.com. Proposers that are awarded the contract will be asked to voluntarily disclose their certification status with the CPUC Clearinghouse, as well as their efforts to work with diverse business enterprises, including WBEs, MBEs, DVBES, and LGBTBEs. OCPA will use that information solely for compliance with its reporting obligations under Public Utilities Code Section 366.2(m) and evaluation of OCPA's outreach and other activities consistent with applicable law. Pursuant to Article I, Section 31 of the California Constitution, OCPA shall not discriminate against or give preferential treatment to any individual or group based on race, sex, color, ethnicity, or national origin, except as otherwise permitted thereunder.

u. Prevailing Wage

Proposers shall take cognizance of the requirements of California Labor Code Sections 1720 et seq. and 1770 et seq., as well as California Code of Regulations, Title 8, Section 16000 et seq. ("Prevailing Wage Laws"), which require the payment of prevailing wage rates and the performance of other requirements on certain "public work" and "maintenance" projects. The Proposer must agree to fully comply with and to require its subcontractors/subconsultants to fully comply with such Prevailing Wage Laws to the extent applicable

2. OCPA CONTACT

All questions regarding these specifications, terms and conditions are to be submitted via www.bidnetdirect.com no later than 5:00 p.m. September 22, 2025. Bidnetdirect.com will be the official notification posting place of all Requests for Interest, Proposals, Quotes and Addenda.

Go to <https://www.bidnetdirect.com/california/orangecountypowerauthority> to view current contracting opportunities.

3. ATTACHMENTS

Exhibit "A": Scope of Services

Exhibit "B": Standard Professional Services Agreement

The attached Professional Services Agreement is provided as Exhibit B. Should any of the terms or conditions of the Agreement be deemed unacceptable or otherwise constitute a material concern, the Proposer shall submit a redline/blackline of the Agreement reflecting its proposed revisions. Such redline/blackline shall clearly identify all requested modifications for OCPA's consideration.

Exhibit "C": Compliance Filings

EXHIBIT “A”

SCOPE OF SERVICES

The services being requested by OCPA under this RFP are described below. Respondents should incorporate all of the requested services for each service offering included in the proposal. Respondents may propose on one or more services.

Power Supply Portfolio Management and Power Procurement

Portfolio Management

Selected service provider will provide load forecasting services, portfolio modeling, and ensure OCPA's power supply meets California mandates and regulatory compliance for renewable energy, storage, long-term contracts, and resource adequacy. The portfolio manager will also perform all required regulatory filings and data requests related to load forecasting, RA, power procurement, and greenhouse gas (GHG) emissions. A comprehensive list is provided in Exhibit “C.” Regular updates will be provided to the OCPA Board of Directors including committee meetings.

To ensure OCPA continues meeting customer demand while complying with California's regulatory mandates, the selected service provider will oversee every stage of power procurement, securing shaped energy, resource-adequacy capacity, carbon-free supply, and the short-, mid-, and long-term renewable resources required to satisfy the California's long-term Renewable Portfolio Standard (RPS) requirements per Senate Bill 100 and OCPA's service offerings with products that include renewable resource mix from RPS compliant to 100% renewable energy. Using recent CAISO ASQMD, SCE customer data, and historical usage, the provider will produce detailed load forecasts, set procurement targets that reflect incremental CPUC orders such as D.23-02-040, Supplemental Mid-Term Reliability requirements. After supplier selection, the provider will finalize contracts and monitor performance, ensuring the portfolio delivers the required long-term renewables, supports expansion throughout Orange County and Southern California, and captures opportunities in the CAISO market, other balancing authorities, and out-of-state markets.

Risk Management Administration

Selected service provider will help develop and participate in a risk management process, as well as produce, maintain, and publish reports tracking OCPA compliance with portfolio exposure, market risk and credit limits. Service provider will be expected to identify and present risk-mitigation strategies to OCPA's risk management team and leadership. Particular attention will be paid to proposed approaches to manage the risk to rate competitiveness posed by the frequent PCIA and incumbent utilities' rate adjustments, and how to incorporate those approaches within OCPA's procurement strategies. Service provider may also assist with drafting, implementing and complying with appropriate Energy Risk Management Policies and will assist OCPA management and staff in presenting various risk management reports and results to the OCPA Board. For OCPA, a formal Energy Risk Management Policy (“Risk Policy”) and Credit Risk Guidelines (“Credit Guidelines”) provide the framework to identify and mitigate key risks, including price, volumetric, credit, and operational risks, while ensuring compliance

with state mandates. OCPA Key Documents, including the risk management policy are posted here: [Key Documents - Orange County Power Authority \(https://www.ocpower.org/resources/key-documents/\)](https://www.ocpower.org/resources/key-documents/)

The selected portfolio manager will ensure the Authority's power supply meets California requirements for renewable energy, energy storage, long-term contracting, and resource adequacy. The portfolio manager will implement risk quantification and reporting practices; determine the optimal methods and systems to aggregate and value positions and related risks, establishing tolerance bands aligned with the Authority's risk appetite; address counterparty credit and liquidity exposure, including collateral and supplier-default considerations, and conduct scenario analysis and stress testing to assess potential stress-based outcomes. The portfolio manager will then prepare the requisite reports for review with the Authority's senior management at the Risk Oversight Committee's regularly scheduled (quarterly) meetings. All work will be performed in close collaboration with the Authority's staff.

Power Procurement

Service provider will be expected to prepare and issue power supply RFOs and RFPs, negotiate power contracts on OCPA's behalf, and assist OCPA in procuring all requisite energy, RA, capacity, renewable and GHG free products to meet OCPA's power supply portfolio requirements while fully complying with applicable regulatory and legislative mandates, CAISO rules and practices, and OCPA Program goals and objectives, including its enterprise risk management policy.

The selected provider will create and issue comprehensive solicitation packages, including RFOs and RFPs, bid workbooks, and form transaction documents; manage competitive bidding for multi-year off-take agreements, rigorously evaluate responses, and negotiate bilateral power-purchase agreements that balance cost, compliance, and risk within OCPA's enterprise-risk-management framework and CAISO rules.

Since its inception, OCPA has procured a significant amount of RPS-eligible renewable energy to meet its service offerings based on OCPA members' elections at levels above California's RPS requirements. OCPA has procured over 80% of its total energy served to its customers with renewable energy for each of the last two years. OCPA will need a significant amount of new renewable resources and is required to purchase at least 65% of its RPS requirement from long-term contracts of 10 years or more. Respondent shall explain its approach to acquiring renewable resources that meet California mandates as well as acquiring new renewable projects within Orange County and the Southern California region. Respondent shall also have experience procuring out-of-state energy and with projects in the CAISO and other balancing authorities.

Legislative & Regulatory Compliance

As a California Load Serving Entity, OCPA must satisfy a wide range of CPUC and California Energy Commission reporting requirements. Key tasks include submitting the annual load forecast that underpins future resource-adequacy obligations, maintaining, monitoring and reconciling the Western Renewable Energy Generation Information System (WREGIS) account, drafting a RPS procurement plan and Integrated Resource Plan, RPS Compliance Report,

monthly and yearly Resource Adequacy plans and showings, producing the SB 790 joint cost comparison, preparing power source disclosures and advising on future procurement orders from CPUC and other directives from the CEC. A comprehensive list of required compliance filings can be found in Exhibit C. In addition, assist and advise the Authority and its scheduling coordinator in securing Congestion Revenue Rights (CRRs) and Import Allocation Rights (IARs) from CAISO.

Expansion & Feasibility Assessments

OCPA's strategic goal to expand its service offerings within the jurisdictions will require amending its implementation plan and performing expansion and feasibility studies. Key tasks include provide technical feasibility assessments to assist OCPA in identifying anticipated resource planning and financial impacts to OCPA of expanding its membership to additional municipalities. Review, process and evaluate available historical customer data as provided by the incumbent investor-owned utility, for the prospective new member community(ies). Complete analysis utilizing proposer's load models to assess new member community load profiles. The results of this customer load analysis would then be incorporated in the proposer's financial model and resource planning models to determine incremental financial and resource planning impacts associated with the prospective customer group. Summarize the results of each assessment to provide OCPA leadership with a concise set of projections available for reference when evaluating prospective new member additions. Support the completion of various sensitivity or scenario analysis should OCPA have an interest in evaluating unique portfolio designs, rate-related outcomes. Present the results of any technical assessment OCPA staff, its committees and/or its Governing Board.

Optional Scope or Work

Proposer may include any unique service offering(s) referenced in this RFP Section C Subsection 1.e.vi. that the proposer deemed as above and beyond the standard scope of services.

EXHIBIT "B"

PROFESSIONAL SERVICES AGREEMENT

ORANGE COUNTY POWER AUTHORITY

PROFESSIONAL SERVICES AGREEMENT

This Professional Services Agreement ("**Agreement**") is made and entered into on [INSERT DATE], 20__, by and between ORANGE COUNTY POWER AUTHORITY, a California joint powers authority ("**Authority**") and [INSERT NAME AND CORPORATE ORGANIZATION], a [] Corporation ("**Consultant**"). Authority and Consultant are sometimes individually referred to as "**Party**" and collectively as "**Parties**."

RECITALS

A. Consultant desires to perform and assume responsibility for the provision of certain professional services required by Authority on the terms and conditions set forth in this Agreement. Consultant represents that it is experienced in providing power portfolio management and power procurement services, and is familiar with the plans of the Authority.

B. Authority desires to engage Consultant to render such professional services for the Authority's community aggregation program ("**Project**") as set forth in this Agreement.

AGREEMENT

1. Scope of Services and Term.

1.1 General Scope of Services. Consultant promises and agrees to furnish to Authority all labor and services and incidental and customary work necessary to fully and adequately supply assist the Authority the implementation services necessary for the Project ("**Services**"). The Services are more particularly described in Exhibit A attached hereto, and which are stated in the proposal to Authority. All Services shall be subject to, and performed in accordance with, this Agreement, the exhibits attached hereto, and all applicable local, state and federal laws, rules and regulations.

1.2 Term. The term of this Agreement shall be from [INSERT DATE] to [INSERT DATE], unless earlier terminated as provided herein. Consultant shall complete the Services within the term of this Agreement and shall meet any other established schedules and deadlines.

2. Fees and Payments.

2.1 Compensation. Consultant shall receive compensation, including authorized reimbursements, for all Services rendered under this Agreement at the rates set forth in Exhibit C, attached hereto. The total compensation shall not exceed [INSERT NOT TO EXCEED

AMOUNT without written approval of Authority's Board of Directors or Chief Executive Officer, as appropriate. Extra Work may be authorized, as described below, and, if authorized, said Extra Work will be compensated at the rates and manner set forth in this Agreement.

2.2 Payment of Compensation. Consultant shall submit to Authority a monthly itemized statement which indicates work completed and hours of Services rendered by Consultant. The statement shall describe the amount of Services and supplies provided since the initial commencement date, or since the start of the subsequent billing periods, as appropriate, through the date of the statement. Authority shall, within 45 days of receiving such statement, review the statement and pay all approved charges thereon.

2.3 Reimbursement for Expenses. Consultant shall not be reimbursed for any expenses unless authorized in writing by Authority.

2.4 Extra Work. At any time during the term of this Agreement, Authority may request that Consultant perform Extra Work. As used herein, "**Extra Work**" means any work which is determined by Authority to be necessary for the proper completion of the Project, but which the Parties did not reasonably anticipate would be necessary at the execution of this Agreement. Consultant shall not perform, nor be compensated for, Extra Work without written authorization from Authority's Representative.

3. Responsibilities of Consultant.

3.1 Control and Payment of Subordinates; Independent Contractor. The Services shall be performed by Consultant or under its supervision. Authority retains Consultant on an independent contractor basis and not as an employee. Consultant retains the right to perform similar or different services for others during the term of this Agreement. Any additional personnel performing the Services under this Agreement on behalf of Consultant shall also not be employees of Authority and shall at all times be under Consultant's exclusive direction and control. Consultant shall pay all wages, salaries, and other amounts due such personnel in connection with their performance of Services under this Agreement and as required by law. Consultant shall be responsible for all reports and obligations respecting such additional personnel, including, but not limited to: social security taxes, income tax withholding, unemployment insurance, disability insurance, and workers' compensation insurance.

3.2 Schedule of Services. Consultant shall perform the Services expeditiously, within the term of this Agreement, and in accordance with the Schedule of Services set forth in Exhibit B attached hereto. Consultant represents that it has the professional and technical personnel required to perform the Services in conformance with such conditions. In order to facilitate Consultant's conformance with the Schedule, Authority shall respond to Consultant's submittals in a timely manner. Upon request of Authority, Consultant shall provide a more detailed schedule of anticipated performance to meet the Schedule of Services.

3.3 Conformance to Applicable Requirements. All work prepared by Consultant shall be subject to the approval of Authority.

3.4 Substitution of Key Personnel. Consultant has represented to Authority that certain

key personnel will perform and coordinate the Services under this Agreement. Should one or more of such personnel become unavailable, Consultant may substitute other personnel of at least equal competence upon written approval of Authority. In the event that Authority and Consultant cannot agree as to the substitution of key personnel, Authority shall be entitled to terminate this Agreement for cause. As discussed below, any personnel who fail or refuse to perform the Services in a manner acceptable to Authority, or who are determined by the Authority to be uncooperative, incompetent, a threat to the adequate or timely completion of the Project, or a threat to the safety of persons or property, shall be promptly removed from the Project by the Consultant at the request of the Authority. The key personnel for performance of this Agreement are as follows:

[INSERT PERSONNEL]

3.5 Authority's Representative. Authority hereby designates the Chief Executive Officer, or designee, to act as its representative for the performance of this Agreement ("**Authority's Representative**"). Authority's Representative shall have the power to act on behalf of Authority for all purposes under this Agreement. Consultant shall not accept direction or orders from any person other than Authority's Representative, or designee.

3.6 Consultant's Representative. Consultant hereby designates **[INSERT NAME]**, or his or her designee, to act as its Representative for the performance of this Agreement ("**Consultant's Representative**"). Consultant's Representative shall have full authority to represent and act on behalf of the Consultant for all purposes under this Agreement. The Consultant's Representative shall supervise and direct the Services, using his or her best skill and attention, and shall be responsible for all means, methods, techniques, sequences and procedures and for the satisfactory coordination of all portions of the Services under this Agreement.

3.7 Coordination of Services. Consultant agrees to work closely with Authority staff in the performance of Services and shall be available to Authority's staff, consultants and other staff at all reasonable times.

3.8 Standard of Care; Performance of Employees. Consultant shall perform all Services under this Agreement in a skillful and competent manner, consistent with the standards generally recognized as being employed by professionals in the same discipline in the State of California. Consultant represents and maintains that it is skilled in the professional calling necessary to perform the Services. Consultant warrants that all employees and sub- contractors shall have sufficient skill and experience to perform the Services assigned to them. Finally, Consultant represents that it, its employees and subcontractors have all licenses, permits, qualifications and approvals of whatever nature that are legally required to perform the Services, and that such licenses and approvals shall be maintained throughout the term of this Agreement. As provided for in the indemnification provisions of this Agreement, Consultant shall perform, at its own cost and expense and without reimbursement from Authority, any services necessary to correct errors or omissions which are caused by the Consultant's failure to comply with the standard of care provided for herein. Any employee of the Consultant or its subcontractors who is determined by Authority to be uncooperative, incompetent, a threat to the adequate or timely completion of the Project, a threat to the safety of persons or property, or any employee who fails or refuses to

perform the Services in a manner acceptable to Authority, shall be promptly removed from the Project by the Consultant and shall not be re-employed to perform any of the Services or to work on the Project.

3.9 Laws and Regulations. Consultant shall keep itself fully informed of and in compliance with all local, state and federal laws, rules and regulations in any manner affecting the performance of the Project or the Services, including all Cal/OSHA requirements, and shall give all notices required by law. Consultant shall be liable for all violations of such laws and regulations in connection with Services. If the Consultant performs any work knowing it to be contrary to such laws, rules and regulations and without giving written notice to Authority, Consultant shall be solely responsible for all costs arising therefrom. Consultant shall defend, indemnify and hold Authority, its officials, directors, officers, employees and agents free and harmless, pursuant to the indemnification provisions of this Agreement, from any claim or liability arising out of any failure or alleged failure to comply with such laws, rules or regulations.

3.10 Insurance.

3.10.1 Time for Compliance. Consultant shall not commence the Services under this Agreement until it has provided evidence satisfactory to Authority that it has secured all insurance required under this section, in a form and with insurance companies acceptable to Authority. In addition, Consultant shall not allow any subcontractor to commence work on any subcontract until it has provided evidence satisfactory to Authority that the subcontractor has secured all insurance required under this section.

3.10.2 Minimum Requirements. Consultant shall, at its expense, procure and maintain for the duration of the Agreement insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the Agreement by the Consultant, its agents, representatives, employees or subcontractors. Consultant shall also require all of its subcontractors to procure and maintain the same insurance for the duration of the Agreement. Such insurance shall meet at least the following minimum levels of coverage:

(A) Minimum Scope of Insurance. Coverage shall be at least as broad as the latest version of the following: (1) *General Liability*: Insurance Services Office Commercial General Liability coverage (occurrence form CG 0001 or exact equivalent); (2) *Automobile Liability*: Insurance Services Office Business Auto Coverage (form CA 0001, code 1 (any auto) or exact equivalent); and (3) *Workers' Compensation and Employer's Liability*: Workers' Compensation insurance as required by the State of California and Employer's Liability Insurance.

(B) Minimum Limits of Insurance. Consultant shall maintain limits no less than: (1) *General Liability*: \$1,000,000 per occurrence for bodily injury, personal injury and property damage. If Commercial General Liability Insurance or other form with general aggregate limit is used, either the general aggregate limit shall apply separately to this Agreement/location or the general aggregate limit shall be twice the required occurrence limit; (2) *Automobile Liability*: \$1,000,000 per accident for bodily injury and property damage; and (3) *Workers' Compensation and Employer's Liability*: Workers' Compensation limits as required by

the Labor Code of the State of California. Employer's Liability limits of \$1,000,000 per accident for bodily injury or disease.

3.10.3 Professional Liability. Consultant shall procure and maintain, and require its subcontractors to procure and maintain, for a period of five (5) years following completion of the Services, errors and omissions liability insurance appropriate to their profession. Such insurance shall be in an amount not less than \$2,000,000 per claim. This insurance shall be endorsed to include contractual liability applicable to this Agreement and shall be written on a policy form coverage specifically designed to protect against acts, errors or omissions of the Consultant. "Covered Professional Services" as designated in the policy must specifically include work performed under this Agreement. The policy must "pay on behalf of" the insured and must include a provision establishing the insurer's duty to defend.

3.10.4 Insurance Endorsements. The insurance policies shall contain the following provisions, or Consultant shall provide endorsements on forms supplied or approved by Authority to add the following provisions to the insurance policies:

(A) General Liability.

(i) Commercial General Liability Insurance must include coverage for (1) Bodily Injury and Property Damage; (2) Personal Injury/Advertising Injury; (3) Premises/Operations Liability; (4) Products/Completed Operations Liability; (5) Aggregate Limits that Apply per Project; (6) Explosion, Collapse and Underground (UCX) exclusion deleted; (7) Contractual Liability with respect to this Agreement; (8) Broad Form Property Damage; and (9) Independent Consultants Coverage.

(ii) The policy shall contain no endorsements or provisions limiting coverage for (1) contractual liability; (2) cross liability exclusion for claims or suits by one insured against another; or (3) contain any other exclusion contrary to the Agreement.

(iii) The policy shall give Authority, its directors, officials, officers, employees, and agents insured status using ISO endorsement forms 20 10 10 01 and 20 37 10 01, or endorsements providing the exact same coverage.

(iv) The additional insured coverage under the policy shall be "primary and non-contributory" and will not seek contribution from Authority's insurance or self-insurance and shall be at least as broad as CG 20 01 04 13, or endorsements providing the exact same coverage.

(B) Automobile Liability. The automobile liability policy shall be endorsed to state that: (1) Authority, its directors, officials, officers, employees, agents and volunteers shall be covered as additional insureds with respect to the ownership, operation, maintenance, use, loading or unloading of any auto owned, leased, hired or borrowed by the Consultant or for which the Consultant is responsible; and (2) the insurance coverage shall be primary insurance as respects Authority, its directors, officials, officers, employees, agents and volunteers, or if excess, shall stand in an unbroken chain of coverage excess of the Consultant's

scheduled underlying coverage. Any insurance or self-insurance maintained by Authority, its directors, officials, officers, employees, agents and volunteers shall be excess of the Consultant's insurance and shall not be called upon to contribute with it in any way.

(C) Workers' Compensation and Employers Liability Coverage.

(i) Consultant certifies that it is aware of the provisions of Section 3700 of the California Labor Code which requires every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and Consultant will comply with such provisions before commencing work under this Agreement.

(ii) The insurer shall agree to waive all rights of subrogation against Authority, its directors, officials, officers, employees, agents and volunteers for losses paid under the terms of the insurance policy which arise from work performed by the Consultant.

(D) All Coverages. Defense costs shall be payable in addition to the limits set forth hereunder. Requirements of specific coverage or limits contained in this section are not intended as a limitation on coverage, limits, or other requirement, or a waiver of any coverage normally provided by any insurance. It shall be a requirement under this Agreement that any available insurance proceeds broader than or in excess of the specified minimum insurance coverage requirements and/or limits set forth herein shall be available to Authority, its directors, officials, officers, employees and agents as additional insureds under said policies. Furthermore, the requirements for coverage and limits shall be (1) the minimum coverage and limits specified in this Agreement; or (2) the broader coverage and maximum limits of coverage of any Insurance policy or proceeds available to the named insured; whichever is greater.

(i) The limits of insurance required in this Agreement may be satisfied by a combination of primary and umbrella or excess insurance. Any umbrella or excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and non-contributory basis for the benefit of Authority (if agreed to in a written contract or agreement) before Authority's own insurance or self-insurance shall be called upon to protect it as a named insured. The umbrella/excess policy shall be provided on a "following form" basis with coverage at least as broad as provided on the underlying policy(ies).

(ii) Consultant shall provide Authority at least thirty (30) days prior written notice of cancellation of any policy required by this Agreement, except that the Consultant shall provide at least ten (10) days prior written notice of cancellation of any such policy due to non-payment of premium. If any of the required coverage is cancelled or expires during the term of this Agreement, the Consultant shall deliver renewal certificate(s) including the General Liability Additional Insured Endorsement to Authority at least ten (10) days prior to the effective date of cancellation or expiration.

(iii) The retroactive date (if any) of each policy is to be no later than the effective date of this Agreement. Consultant shall maintain such coverage continuously for a period of at least three years after the completion of the work under this Agreement.

Consultant shall purchase a one (1) year extended reporting period A) if the retroactive date is advanced past the effective date of this Agreement; B) if the policy is cancelled or not renewed; or C) if the policy is replaced by another claims-made policy with a retroactive date subsequent to the effective date of this Agreement.

(iv) The foregoing requirements as to the types and limits of insurance coverage to be maintained by Consultant, and any approval of said insurance by Authority, is not intended to and shall not in any manner limit or qualify the liabilities and obligations otherwise assumed by the Consultant pursuant to this Agreement, including but not limited to, the provisions concerning indemnification.

(v) If at any time during the life of the Agreement, any policy of insurance required under this Agreement does not comply with these specifications or is canceled and not replaced, Authority has the right but not the duty to obtain the insurance it deems necessary and any premium paid by Authority will be promptly reimbursed by Consultant or Authority will withhold amounts sufficient to pay premium from Consultant payments. In the alternative, Authority may cancel this Agreement. Authority may require the Consultant to provide complete copies of all insurance policies in effect for the duration of the Project.

(vi) Neither Authority nor any of its directors, officials, officers, employees or agents shall be personally responsible for any liability arising under or by virtue of this Agreement.

3.10.5 Separation of Insureds; No Special Limitations. All insurance required by this section shall contain standard separation of insureds provisions. In addition, such insurance shall not contain any special limitations on the scope of protection afforded to Authority, its directors, officials, officers, employees, agents and volunteers.

3.10.6 Deductibles and Self-Insurance Retentions. Any deductibles or self-insured retentions must be declared to and approved by Authority. Consultant shall guarantee that, at the option of Authority, either: (1) the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects Authority, its directors, officials, officers, employees, agents and volunteers; or (2) the Consultant shall procure a bond guaranteeing payment of losses and related investigation costs, claims and administrative and defense expenses.

3.10.7 Acceptability of Insurers. Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A:VII, licensed to do business in California, and satisfactory to Authority.

3.10.8 Verification of Coverage. Consultant shall furnish Authority with original certificates of insurance and endorsements effecting coverage required by this Agreement on forms satisfactory to Authority. The certificates and endorsements for each insurance policy shall be signed by a person authorized by that insurer to bind coverage on its behalf and shall be on forms provided by Authority if requested. All certificates and endorsements must be received and approved by Authority before work commences. Authority reserves the right to require complete, certified copies of all required insurance policies, at any time.

3.10.9 Subcontractor Insurance Requirements. Consultant shall not allow any subcontractors to commence work on any subcontract until they have provided evidence satisfactory to Authority that they have secured all insurance required under this section. Policies of commercial general liability insurance provided by such subcontractors shall be endorsed to name Authority as an additional insured using ISO form CG 20 38 04 13 or an endorsement providing the exact same coverage. If requested by Consultant, Authority may approve different scopes or minimum limits of insurance for particular subcontractors.

4. **Accounting Records.** Consultant shall maintain complete and accurate records with respect to all costs and expenses incurred under this Agreement. All such records shall be clearly identifiable. Consultant shall allow a representative of Authority during normal business hours to examine, audit, and make transcripts or copies of such records and any other documents created pursuant to this Agreement. Consultant shall allow inspection of all work, data, documents, proceedings, and activities related to the Agreement for a period of four (4) years from the date of final payment under this Agreement.

5. **General Provisions.**

5.1 Termination of Agreement.

5.1.1 Grounds for Termination. Authority may, by written notice to Consultant, terminate the whole or any part of this Agreement at any time and without cause by giving written notice to Consultant of such termination, and specifying the effective date thereof, at least seven (7) days before the effective date of such termination. Upon termination, Consultant shall be compensated only for those services which have been adequately rendered to Authority, and Consultant shall be entitled to no further compensation. Consultant may not terminate this Agreement except for cause.

5.1.2 Effect of Termination. If this Agreement is terminated as provided herein, Authority may require Consultant to provide all finished or unfinished Documents and Data and other information of any kind prepared by Consultant in connection with the performance of Services under this Agreement. Consultant shall be required to provide such documents and other information within fifteen (15) days of the request.

5.1.3 Additional Services. In the event this Agreement is terminated in whole or in part as provided herein, Authority may procure, upon such terms and in such manner as it may determine appropriate, services similar to those terminated.

5.2 Delivery of Notices. All notices permitted or required under this Agreement shall be given to the respective Parties at the following address, or at such other address as the respective parties may provide in writing for this purpose:

Consultant:

Authority:

Such notice shall be deemed made when personally delivered or when mailed, forty-eight (48) hours after deposit in the U.S. Mail, first class postage prepaid and addressed to the Party at its applicable address. Actual notice shall be deemed adequate notice on the date actual notice occurred, regardless of the method of service.

5.3 Safety. Consultant shall execute and maintain its work so as to avoid injury or damage to any person or property. In carrying out its Services, the Consultant shall at all times be in compliance with all applicable local, state and federal laws, rules and regulations, and shall exercise all necessary precautions for the safety of employees appropriate to the nature of the work and the conditions under which the work is to be performed. Safety precautions as applicable shall include, but shall not be limited to: (A) adequate life protection and life-saving equipment and procedures; (B) instructions in accident prevention for all employees and subcontractors, such as safe walkways, scaffolds, fall protection ladders, bridges, gang planks, confined space procedures, trenching and shoring, equipment and other safety devices, equipment and wearing apparel as are necessary or lawfully required to prevent accidents or injuries; and (C) adequate facilities for the proper inspection and maintenance of all safety measures.

5.4 Ownership of Materials and Confidentiality.

5.4.1 Documents & Data; Licensing of Intellectual Property. This Agreement creates a non-exclusive and perpetual license for Authority to copy, use, modify, reuse, or sublicense any and all copyrights, designs, and other intellectual property embodied in plans, specifications, studies, drawings, estimates, and other documents or works of authorship fixed in any tangible medium of expression, including but not limited to, physical drawings or data magnetically or otherwise recorded on computer diskettes, which are prepared or caused to be prepared by Consultant under this Agreement (“**Documents & Data**”). Consultant shall require all subcontractors to agree in writing that Authority is granted a non-exclusive and perpetual license for any Documents & Data the subcontractor prepares under this Agreement. Consultant represents and warrants that Consultant has the legal right to license any and all Documents & Data. Consultant makes no such representation and warranty in regard to Documents & Data which were prepared by design professionals other than Consultant or provided to Consultant by Authority. Authority shall not be limited in any way in its use of the Documents & Data at any time, provided that any such use not within the purposes intended by this Agreement shall be at Authority’s sole risk.

5.4.2 Intellectual Property. In addition, Authority shall have and retain all right, title and interest (including copyright, patent, trade secret and other proprietary rights) in all plans, specifications, studies, drawings, estimates, materials, data, computer programs or software and source code, enhancements, documents, and any and all works of authorship fixed in any tangible medium or expression, including but not limited to, physical drawings or other data magnetically or otherwise recorded on computer media (“**Intellectual Property**”) prepared or developed by or on behalf of Consultant under this Agreement as well as any other such Intellectual Property prepared or developed by or on behalf of Consultant under this Agreement.

Authority shall have and retain all right, title and interest in Intellectual Property developed or modified under this Agreement whether or not paid for wholly or in part by Authority, whether or not developed in conjunction with Consultant, and whether or not developed by Consultant. Consultant will execute separate written assignments of any and all rights to the above referenced Intellectual Property upon request of Authority.

Consultant shall also be responsible to obtain in writing separate written assignments from any subcontractors or agents of Consultant of any and all right to the above referenced Intellectual Property. Should Consultant, either during or following termination of this Agreement, desire to use any of the above-referenced Intellectual Property, it shall first obtain the written approval of the Authority.

All materials and documents which were developed or prepared by the Consultant for general use prior to the execution of this Agreement and which are not the copyright of any other party or publicly available and any other computer applications, shall continue to be the property of the Consultant. However, unless otherwise identified and stated prior to execution of this Agreement, Consultant represents and warrants that it has the right to grant the exclusive and perpetual license for all such Intellectual Property as provided herein.

Authority further is granted by Consultant a non-exclusive and perpetual license to copy, use, modify or sub-license any and all Intellectual Property otherwise owned by Consultant which is the basis or foundation for any derivative, collective, insurrectional, or supplemental work created under this Agreement.

5.4.3 Confidentiality. All ideas, memoranda, specifications, plans, procedures, drawings, descriptions, computer program data, input record data, written information, and other Documents and Data either created by or provided to Consultant in connection with the performance of this Agreement shall be held confidential by Consultant. Such materials shall not, without the prior written consent of Authority, be used by Consultant for any purposes other than the performance of the Services. Nor shall such materials be disclosed to any person or entity not connected with the performance of the Services or the Project. Nothing furnished to Consultant which is otherwise known to Consultant or is generally known, or has become known, to the related industry shall be deemed confidential. Consultant shall not use Authority's name or insignia, photographs of the Project, or any publicity pertaining to the Services or the Project in any magazine, trade paper, newspaper, television or radio production or other similar medium without the prior written consent of Authority.

5.4.4 Infringement Indemnification. Consultant shall defend, indemnify and hold Authority, its directors, officials, officers, employees, volunteers and agents free and harmless, pursuant to the indemnification provisions of this Agreement, for any alleged infringement of any patent, copyright, trade secret, trade name, trademark, or any other proprietary right of any person or entity in consequence of the use on the Project by Authority of the Documents & Data, including any method, process, product, or concept specified or depicted.

5.5 Cooperation; Further Acts. The Parties shall fully cooperate with one another and shall take any additional acts or sign any additional documents as may be necessary, appropriate

or convenient to attain the purposes of this Agreement.

5.6 Attorney's Fees. If either Party commences an action against the other Party, either legal, administrative or otherwise, arising out of or in connection with this Agreement, the prevailing party in such litigation shall be entitled to have and recover from the losing party reasonable attorney's fees and all other costs of such action.

5.7 Indemnification.

5.7.1 To the fullest extent permitted by law, Consultant shall defend (with counsel of Authority's choosing), indemnify and hold Authority, its officials, officers, employees, volunteers, and agents free and harmless from any and all claims, demands, causes of action, costs, expenses, liability, loss, damage or injury of any kind, in law or equity, to property or persons, including wrongful death, in any manner arising out of, pertaining to, or incident to any acts, errors or omissions, or willful misconduct of Consultant, its officials, officers, employees, subcontractors, consultants or agents in connection with the performance of the Consultant's services, the Project or this Agreement, including without limitation the payment of all damages, expert witness fees and attorney's fees and other related costs and expenses. Consultant's obligation to indemnify shall not be restricted to insurance proceeds, if any, received by Consultant, Authority, its officials, officers, employees, agents, or volunteers. This section shall survive any expiration or termination of this Agreement.

5.7.2 If Consultant's obligation to defend, indemnify, and/or hold harmless arises out of Consultant's performance of "design professional" services (as that term is defined under Civil Code section 2782.8), then, and only to the extent required by Civil Code section 2782.8, which is fully incorporated herein, Consultant's indemnification obligation shall be limited to claims that arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of the Consultant, and, upon Consultant obtaining a final adjudication by a court of competent jurisdiction, Consultant's liability for such claim, including the cost to defend, shall not exceed the Consultant's proportionate percentage of fault.

5.8 California Labor Code Requirements.

5.8.1 Consultant is aware of the requirements of California Labor Code Sections 1720 et seq. and 1770 et seq., which require the payment of prevailing wage rates and the performance of other requirements on certain "public works" and "maintenance" projects ("Prevailing Wage Laws"). If the services are being performed as part of an applicable "public works" or "maintenance" project, as defined by the Prevailing Wage Laws, and if the total compensation is \$1,000 or more, Consultant agrees to fully comply with such Prevailing Wage Laws. Consultant shall defend, indemnify and hold Authority, its officials, officers, employees and agents free and harmless from any claims, liabilities, costs, penalties or interest arising out of any failure or alleged failure to comply with the Prevailing Wage Laws. It shall be mandatory upon the Consultant and all subconsultants to comply with all California Labor Code provisions, which include but are not limited to prevailing wages (Labor Code Sections 1771, 1774 and 1775), employment of apprentices (Labor Code Section 1777.5), certified payroll records (Labor Code Sections 1771.4 and 1776), hours of labor (Labor Code Sections 1813 and 1815) and debarment

of contractors and subcontractors (Labor Code Section 1777.1). The requirement to submit certified payroll records directly to the Labor Commissioner under Labor Code section 1771.4 shall not apply to work performed on a public works project that is exempt pursuant to the small project exemption specified in Labor Code Section 1771.4.

5.8.2 If the services are being performed as part of an applicable “public works” or “maintenance” project, then pursuant to Labor Code Sections 1725.5 and 1771.1, the Consultant and all subconsultants performing such services must be registered with the Department of Industrial Relations. Consultant shall maintain registration for the duration of the Project and require the same of any subconsultants, as applicable. Notwithstanding the foregoing, the contractor registration requirements mandated by Labor Code Sections 1725.5 and 1771.1 shall not apply to work performed on a public works project that is exempt pursuant to the small project exemption specified in Labor Code Sections 1725.5 and 1771.1.

5.8.3 This Agreement may also be subject to compliance monitoring and enforcement by the Department of Industrial Relations. It shall be Consultant’s sole responsibility to comply with all applicable registration and labor compliance requirements. Any stop orders issued by the Department of Industrial Relations against Consultant or any subcontractor that affect Consultant’s performance of services, including any delay, shall be Consultant’s sole responsibility. Any delay arising out of or resulting from such stop orders shall be considered Consultant caused delay and shall not be compensable by Authority. Consultant shall defend, indemnify and hold Authority, its officials, officers, employees and agents free and harmless from any claim or liability arising out of stop orders issued by the Department of Industrial Relations against Consultant or any subcontractor.

5.9 Entire Agreement. This Agreement contains the entire Agreement of the Parties with respect to the subject matter hereof, and supersedes all prior negotiations, understandings or agreements. This Agreement may only be modified by a writing signed by both Parties.

5.10 Governing Law. This Agreement shall be governed by the laws of the State of California. Venue shall be in Orange County, California.

5.11 Time of Essence. Time is of the essence for each and every provision of this Agreement.

5.12 Authority’s Right to Employ Other Consultants. Authority reserves right to employ other consultants in connection with this Project.

5.13 Successors and Assigns. This Agreement shall be binding on the successors and assigns of the Parties.

5.14 Assignment or Transfer. Consultant shall not assign, hypothecate, or transfer, either directly or by operation of law, this Agreement or any interest herein without the prior written consent of Authority. Any attempt to do so shall be null and void, and any assignees, hypothecates or transferees shall acquire no right or interest by reason of such attempted assignment, hypothecation or transfer.

5.15 Construction; References; Captions. Since the Parties or their agents have participated fully in the preparation of this Agreement, the language of this Agreement shall be construed simply, according to its fair meaning, and not strictly for or against any Party. Any term referencing time, days or period for performance shall be deemed calendar days and not work days. All references to Consultant include all personnel, employees, agents, and subcontractors of Consultant, except as otherwise specified in this Agreement. All references to Authority include its elected officials, officers, employees, agents, and volunteers except as otherwise specified in this Agreement. The captions of the various articles and paragraphs are for convenience and ease of reference only, and do not define, limit, augment, or describe the scope, content, or intent of this Agreement.

5.16 Amendment; Modification. No supplement, modification, or amendment of this Agreement shall be binding unless executed in writing and signed by both Parties.

5.17 Waiver. No waiver of any default shall constitute a waiver of any other default or breach, whether of the same or other covenant or condition. No waiver, benefit, privilege, or service voluntarily given or performed by a Party shall give the other Party any contractual rights by custom, estoppel, or otherwise.

5.18 No Third Party Beneficiaries. There are no intended third party beneficiaries of any right or obligation assumed by the Parties.

5.19 Invalidity; Severability. If any portion of this Agreement is declared invalid, illegal, or otherwise unenforceable by a court of competent jurisdiction, the remaining provisions shall continue in full force and effect.

5.20 Prohibited Interests. Consultant maintains and warrants that it has not employed nor retained any company or person, other than a bona fide employee working solely for Consultant, to solicit or secure this Agreement. Further, Consultant warrants that it has not paid nor has it agreed to pay any company or person, other than a bona fide employee working solely for Consultant, any fee, commission, percentage, brokerage fee, gift or other consideration contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, Authority shall have the right to rescind this Agreement without liability. For the term of this Agreement, no member, officer or employee of Authority, during the term of his or her service with Authority, shall have any direct interest in this Agreement, or obtain any present or anticipated material benefit arising therefrom.

5.21 Nondiscrimination and Equal Opportunity Employment and Subcontracting. Consultant represents that it is an equal opportunity employer and it shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment of applicants, employees, subcontractors, vendors, or suppliers. Such non-discrimination shall include, but not be limited to, all activities related to initial employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination. Further, Consultant shall provide equal opportunity for subcontractors to participate in subcontracting opportunities.

5.22 Labor Certification. By its signature hereunder, Consultant certifies that it is aware of the provisions of Section 3700 of the California Labor Code which requires every employer to be insured against liability for Workers' Compensation, or to undertake self- insurance in accordance with the provisions of that Code, and agrees to comply with such provisions before commencing the performance of the Services.

5.23 Authority to Enter Agreement. Consultant has all requisite power and authority to conduct its business and to execute, deliver, and perform the Agreement. Each Party warrants that the individuals who have signed this Agreement have the legal power, right, and authority to make this Agreement and bind each respective Party.

5.24 Counterparts. This Agreement may be signed in counterparts, each of which shall constitute an original.

5.25 Subcontracting. Consultant shall not subcontract any portion of the work required by this Agreement, except as expressly stated herein, without prior written approval of Authority. Subcontracts, if any, shall contain a provision making them subject to all provisions stipulated in this Agreement.

[SIGNATURES ON FOLLOWING PAGE]

IN WITNESS WHEREOF, the Parties have made and executed this Agreement as of the date first written above.

**ORANGE COUNTY POWER
AUTHORITY**

By : _____
Name: _____
Title: _____

By : _____
Name: _____
Title: _____

ATTEST:

Secretary, Authority Board of Directors

APPROVED AS TO FORM:

General Counsel

**A corporation requires the signatures of two corporate officers.*

One signature shall be that of the Chairman of Board, the President or any Vice President, and the second signature (on the attest line) shall be that of the Secretary, any Assistant Secretary, the Chief Financial Officer or any Assistant Treasurer of such corporation.

If the above persons are not the intended signators, evidence of signature authority shall be provided to Authority.

EXHIBIT “C”

REQUIRED COMPLIANCE FILINGS

The following list represents required compliance filings however the list is subject to change and additional items may be added as needed.

Compliance Filing
Year Ahead Load Forecast
Monthly Load Forecast Update
Year Ahead Compliance Demonstration, System RA
Year Ahead Compliance Demonstration, Local RA
Historical load data
Monthly Compliance Demonstration, System, Local, Flexible RA
Year Ahead RA Plan
Monthly RA Plan
Annual Flexible Capacity Needs Assessment
PCIA-RA Adder Data request
RA Price Data Request
Annual RPS Compliance Report
WREGIS Compliance Report
Periodic Certificate Retirement (ongoing; not specific to a compliance program)
Compliance Verification
RPS Procurement Plan
WREGIS account management, certificate transfer review and retirement.
RPS Workforce Development Data Request
Annual Emission Performance Standard Compliance Filing
Annual Report: Power Source Disclosure Program
Annual Power Content Label
Minimum Participation Verification
CEC-1306B - Load Serving Entity (LSE) Quarterly Report
861 Monthly
861 Annual
IEPR Demand Forecast
IEPR Resource Plans
Storage Compliance Advice letter filing
CARB MRR (limited scope) reporting
Integrated Resource Plan

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